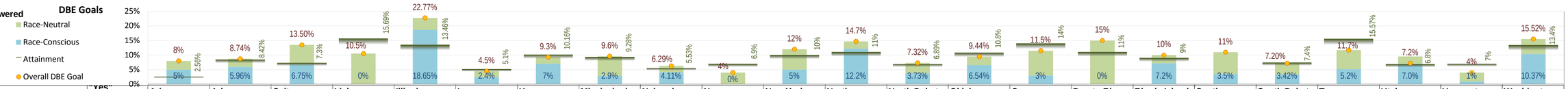
Survey Responsibility vs. Responsiveness

September 9, 2011

23 state DOT answered



	Questions	"Yes" Responces	Arizona	Arkansas	Caltrans	Idaho	Illinois	Iowa	Kansas	Mississippi	Nebraska	New Hampshire	New York	North Carolina	North Dakota	Oklahoma	Oregon	Puerto Rico	Rhode Island	South Carolina	South Dakota	Texas	Utah	Vermont	Washington
1	Does your state operate a **race conscious** DBE FHWA program (i.e. you have an overall DBE goal which requires the use of contract goals)?	20	Yes	Yes	Yes	No	Yes	Yes	Yes	Yes	Yes	No	Yes	Yes	Yes	Yes	Yes	No	Yes	Yes	Yes	Yes	Yes	Yes	Yes
2	What is your state's most recent overall FHWA DBE Goal?																								
	Overall DBE Goals:		**8%**	**8.74%**	**13.50%**	**10.5%**	**22.77%**	**4.5%**	**9.3%**	**9.6%**	**6.29%**	**4%**	**12%**	**14.7%**	**7.32%**	**9.44%**	**11.5%**	**15%**	**10%**	**11%**	**7.20%**	**11.7%**	**7.2%**	**4%**	**15.52%**
	Race-Neutral Projection:		3%	2.78%	6.75%	10.50%	4.12%	2.1%	2.3%	6.7%	2.18%	4%	7%	2.5%	3.59%	2.9%	8.5%	15%	2.8%	7.5%	3.78%	6.5%	2.5%	3%	5.15%
	Race-Conscious Projection:		5%	5.96%	6.75%	0%	18.65%	2.4%	7%	2.9%	4.11%	0%	5%	12.2%	3.73%	6.54%	3%	0%	7.2%	3.5%	3.42%	5.2%	7.0%	1%	10.37%
	Present Attainment:		2.56%	8.42%	7.3%	15.69%	13.46%	5.1%	10.16%	9.28%	5.53%	6.9%	10%	11%	6.89%	10.8%	14%	11%	9%		7.4%	15.57%	6.8%	7%	13.4%
3	Does your state presently operate its DBE Program with a **Responsive** or **Responsible** methodology (49 CFR § 26.53)?		Responsive	Responsible	Responsive Responsible	Responsible	Responsive	Responsive	Responsive	Responsive	Responsive	Responsible	Responsive	Responsive	Responsive	Responsive	Responsive	Responsible	Responsive Responsible	Responsive	Responsive	Responsible	Responsive	Responsive	Responsive
	Combination, please explain:		Responsive but with delayed submission; 5 days following bid submission but prior to contract award			We monitor ongoing to determine if we need to change to a responsive program or to a combination of both.								Low bid wins the bid if they either meet the advertised goal or demonstrate a good faith effort to our Goal Compliance Committee						In the case of design build contracts, RIDOT utilizes both methods.			We require information for meeting contract goals only from the presumed low bidder.			
6	Has your State changed from Responsive to Responsible or vice versa, in the past? Which way did your State change?	2	No	No		Responsive to Responsible	Responsible to Responsive	No	No	No	No	No	No	No	No	No	No	No	No	No	No	No	No	No	No
12	On projects with DBE contract goals, does your State require written confirmation (i.e. written documentation verifying that the prime has contacted the subcontractor)?	20	Yes	Yes	Yes	Yes	Yes	Yes	No	Yes	Yes	Yes	No	Yes	Yes	Yes	Yes		Yes	Yes	Yes	Yes	Yes	Yes	Yes
13	What is contained within your State's written confirmation? Please check all that apply.	**20**																							
	Prime Contractor Company Name	19	X	X	X	X	X	X		X	X	X		X	X	X			X	X	X	X	X	X	X
	Prime Contractor Signature	16	X	X	X		X			X	X	X		X	X	X	X		X		X	X		X	X
	Prime Contractor Title and Name	14	X		X		X			X	X			X	X	X	X		X		X	X		X	X
	DBE Company Name	20	X	X	X	X	X	X		X	X	X		X	X	X	X		X	X	X	X	X	X	X
	DBE Contractor Signature	17	X	X			X			X	X	X		X	X	X	X		X	X	X	X	X	X	X
	DBE Contractor Title and Name	15	X		X		X				X			X	X	X	X		X	X	X	X	X	X	X
	Dollar Amount of Work the DBE will be Performing	20	X	X	X	X	X	X		X	X	X		X	X	X	X		X	X	X	X	X	X	X
	Scope of Work the DBE will be Performing	20	X	X	X	X	X	X		X	X	X		X	X	X	X		X	X	X	X	X	X	X
	Other (please specify)	8				We also would require a statement from the DBE firms stating they are aware of being committed.				The prime is required to list the percentage of each item of work to be perfomed by the DBE so that our field personnel can monitor to ensure the DBE is using		DBE name address and telephone #, project #,		We call it a "Letter of Intent" Form	Question 12 is unclear.	Prime attaches the DBE quote with the confirmation			We review the executed subcontract.The review ensures that all required federal language is present as well as nothing contrary to CUF guidelines.(ex:rental of equipment from prime)					We also verify by phone on bid opening day.	
14	What is the timeframe for submission of the written confirmation?		More than 3 business days	More than 3 business days	More than 3 business days	With bid submittal	With bid submittal	With bid submittal		More than 3 business days	Within 3 business days	Within 3 business days	More than 3 business days	More than 3 business days	More than 3 business days	More than 3 business days	More than 3 business days		More than 3 business days	Within 3 business days	More than 3 business days	More than 3 business days	Within 3 business days	Within 3 business days	With bid submittal
15	Who assisted your State in determining the DBE submission process (Responsiveness or Responsibility) you use?	**22**																							
	The DBE Liaison Officer	13	X		X	X		X				X			X	X			X	X	X		X	X	X
	The State DOT Staff	12	X			X		X		X			X	X	X	X	X			X	X	X			
	State Legislature	0																							
	Federal Highway Administration	6		X			X	X	X	X										X					
	Stakeholders. Which organizations had the most influence?	4				Outside organizations and companies.					The DBE submission process has been this way since the DBE Progam started.		NYSDOT's DBE submission process is under review. Historically, it has been controlled by the Office of Construction. Going forward to be overseen by OCR.		We have a committee of 3 Division Directors: Civil Rights, Finance, Construction.										